## Behaviorally Realistic Risk Management

#### **Baruch Fischhoff**

Carnegie Mellon University
Department of Social and Decision Sciences
Department of Engineering and Public Policy
<a href="http://www.cmu.edu/dietrich/sds/people/faculty/baruch-fischhoff.html">http://www.cmu.edu/dietrich/sds/people/faculty/baruch-fischhoff.html</a>

National Science Advisory Board on Biosecurity Bethesda, MD

October 22, 2014

# Behaviorally Realistic Risk Management Addresses the Roles of

people in system performance,

as sources of vulnerability and resilience expert judgment in analysis,

formulation, estimation, and interpretation communication processes,

connecting design with users

# Decision Science as an Organizing Discipline

Formal analysis of systems or decisions, Empirical studies of human behavior, beliefs, and preferences

Interventions for improving system design, with evaluations guiding iterations

### **Some Applications**

sexual assault

perchloroethylene

plague

climate change

detergent

breast cancer

nuclear explosions

herpes

xenotransplantation

smart electric meters

domestic radon

methylene chloride

**EMF** 

preterm birth

violent radicalization

breast implants

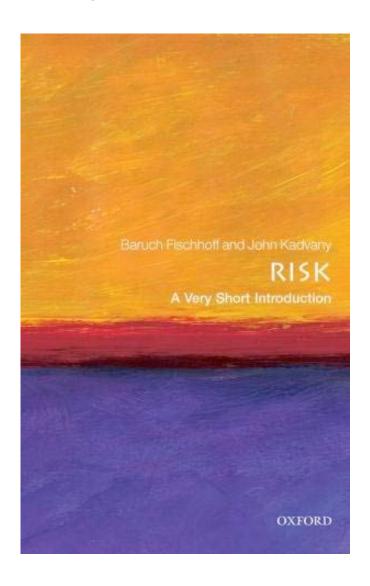
nuclear power in space

Plan B (morning after pill)

neonates

vaccines (anthrax, MMR)

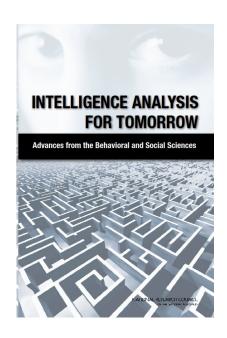
#### **Risk: A Very Short Introduction**

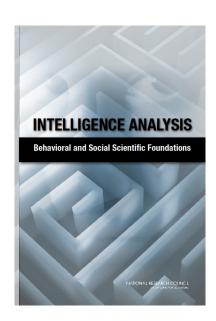


Fischhoff, B., & Kadvany, J. (2011). Risk: A Very Short Introduction. Oxford: Oxford University Press.

### **NAS** Report for DNI

#### Consensus Report Edited Readings





http://www.nap.edu/catalog.php?record\_id=13040

http://www.nap.edu/catalog.php?record\_id=13062

# Behaviorally Realistic Risk Management Addresses the Roles of

people in system performance,

as sources of vulnerability and resilience expert judgment in analysis,

formulation, estimation, and interpretation communication processes,

connecting experts with their publics

# INDUSTRIAL FATIGUE AND EFFICIENCY

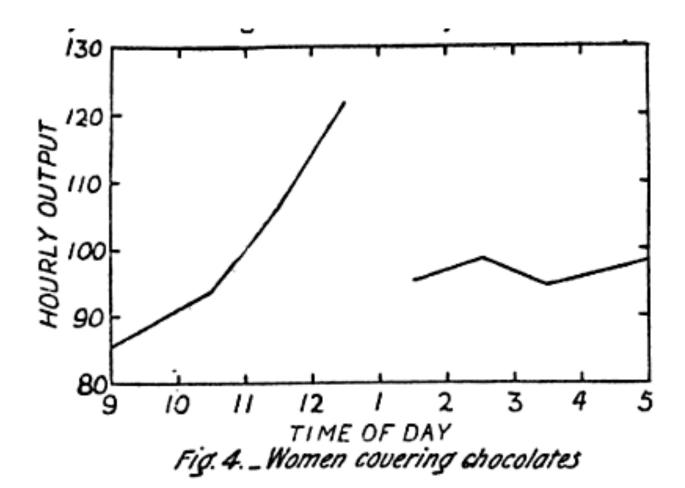
H. M. VERNON, M.A., M.D.

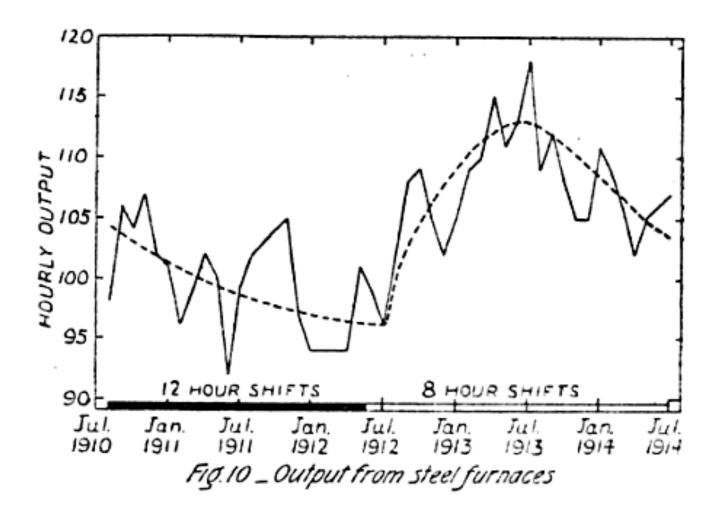
Investigator for the Industrial Fatigue

Research Board;

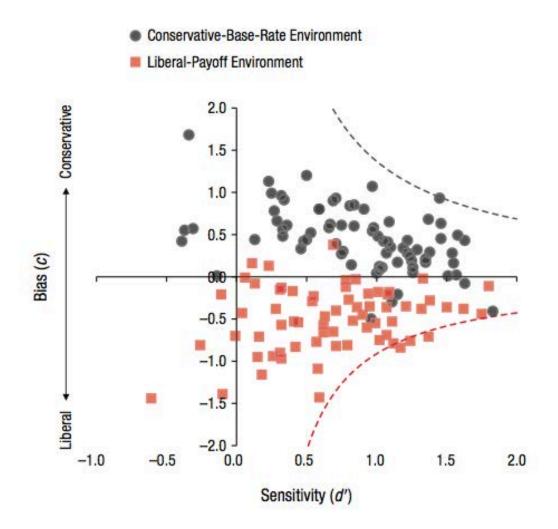
Late Fellow of Magdalen College, Oxford.

London: George Routledge & Sons, 1921





#### Vigilance Detection Ability and Decision Rules



Lynn, S. K., & Barrett, L. F. (2014). "Utilizing" Signal Detection Theory. *Psychological Science*, 1-11. Slide courtesy of Casey Canfield, PhD Student, Department of Engineering and Public Policy, CMU

J Risk Uncertainty (2006) 33:131–149 DOI 10.1007/s11166-006-0175-8

## Analyzing disaster risks and plans: An avian flu example

Baruch Fischhoff • Wändi Bruine de Bruin • Ümit Güvenç • Denise Caruso • Larry Brilliant

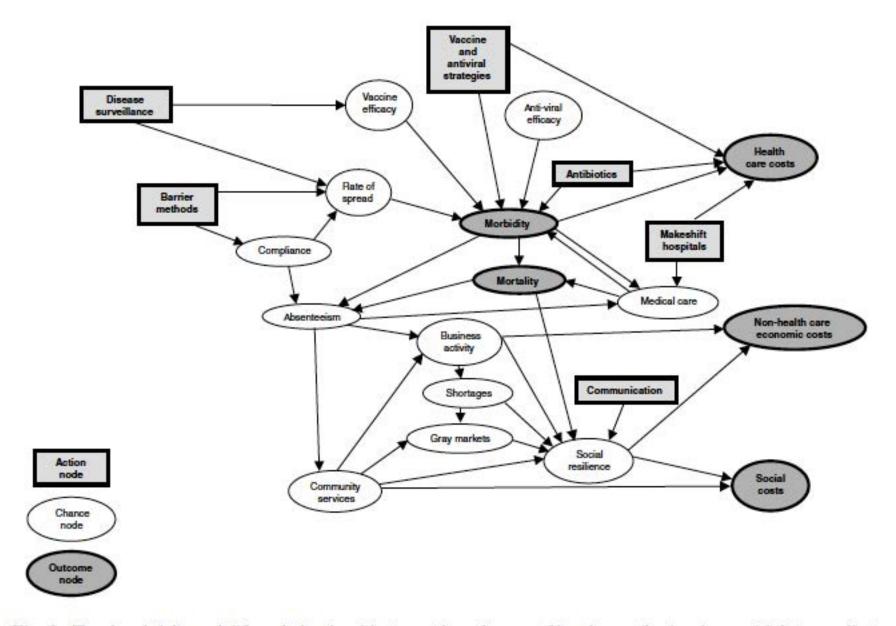


Fig. 2 Top-level risk model for a behavioral intervention, the use of barrier methods, along with intermediate processes affecting its impacts on the focal consequences of Figure 1. Ovals indicate uncertain variables, which need to be predicted. Rectangles indicate actions, which need to be planned and implemented

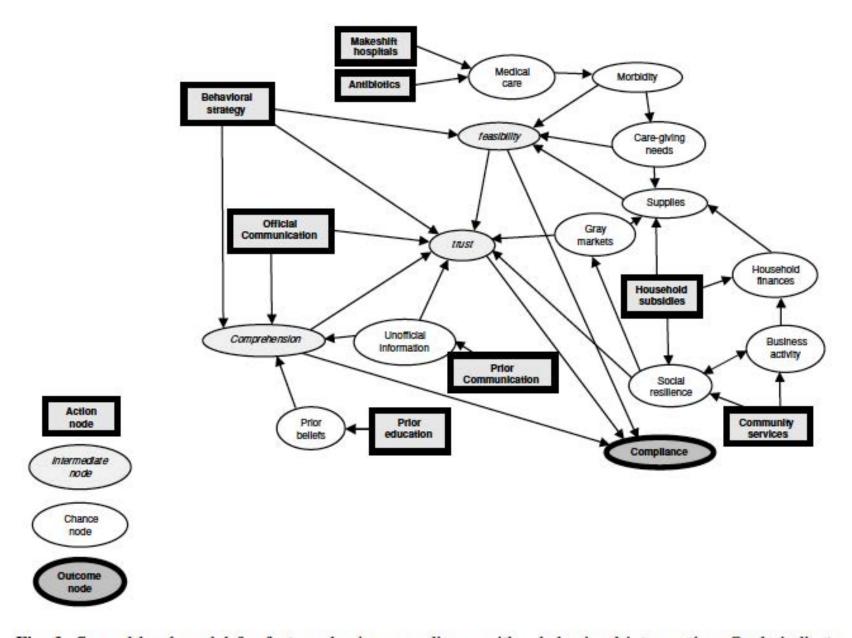


Fig. 3 Second-level model for factors shaping compliance with a behavioral intervention. Ovals indicate uncertain variables, which need to be predicted. Rectangles indicate actions, which need to be planned and implemented

# Behaviorally Realistic Risk Management Addresses the Roles of

people in system performance,
as sources of vulnerability and resilience
expert judgment in analysis,
formulation, estimation, and interpretation
communication processes,
connecting design with users



#### Expert judgments of pandemic influenza risks

W. BRUINE DE BRUIN<sup>1</sup>, B. FISCHHOFF<sup>1,2</sup>, L. BRILLIANT,<sup>3</sup> & D. CARUSO<sup>4</sup>

<sup>&</sup>lt;sup>1</sup>Department of Social and Decision Sciences, Carnegie Mellon University, PA, USA,

<sup>&</sup>lt;sup>2</sup>Department of Engineering and Public Policy, Carnegie Mellon University, PA, USA,

<sup>&</sup>lt;sup>3</sup>Seva Foundation CA, USA and Google Foundation CA, USA, and <sup>4</sup>Hybrid Vigor Institute CA, USA

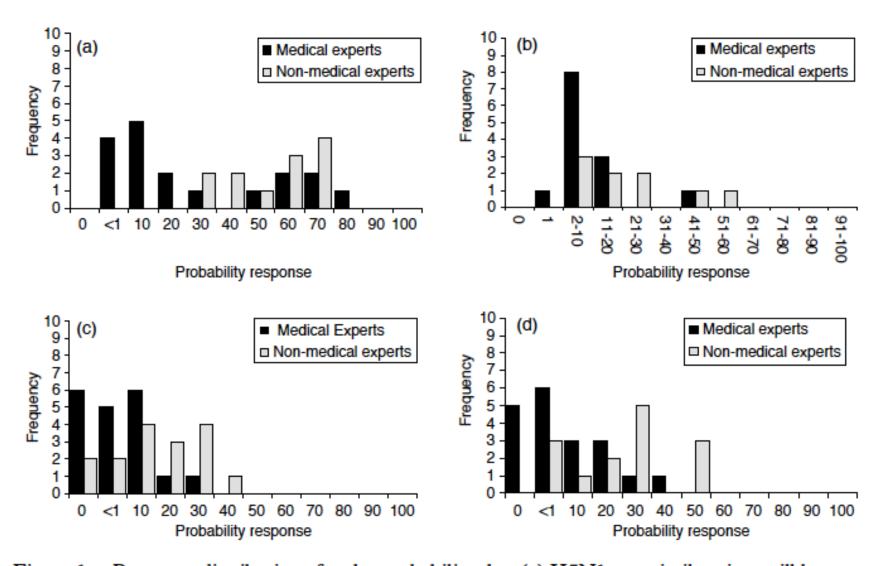
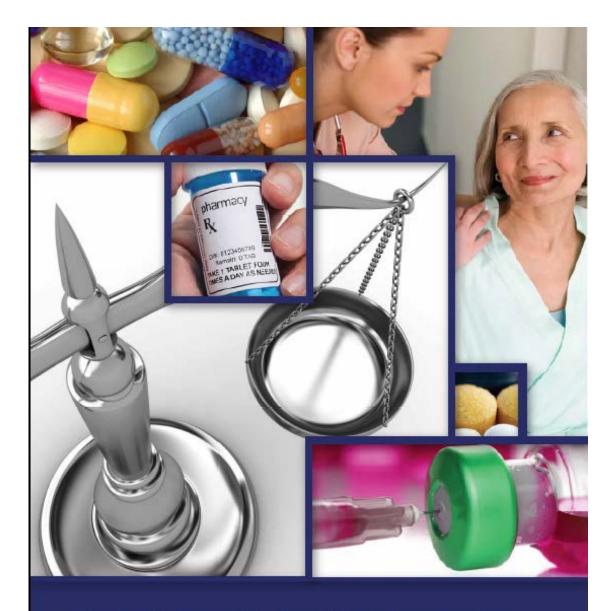


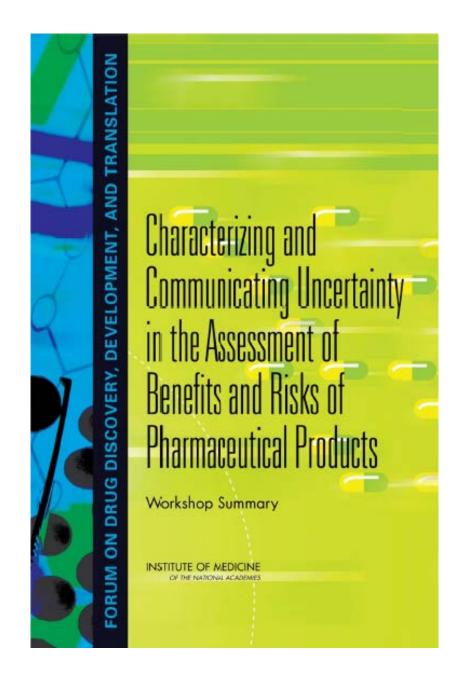
Figure 1. Response distributions for the probability that (a) H5N1 or a similar virus will become an efficient human-to-human transmitter in the next 3 years, (b) someone who is infected will die, or the case-fatality rate, (c) we will not have sufficient quantities of effective vaccines at that time, and (d) we will not have sufficient quantities of effective antiviral pharmaceuticals at that time. Figure (b) presents categories of open-ended responses, whereas the other figures present responses on the probability scale described in the text.



Structured Approach to Benefit-Risk Assessment in Drug Regulatory Decision-Making

Draft PDUFA V Implementation Plan - February 2013 Fiscal Years 2013-2017

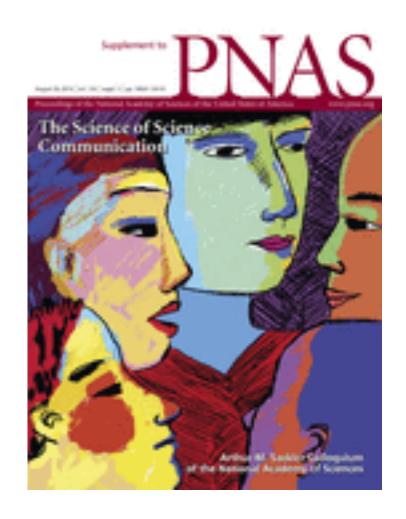




http://www.nap.edu/catalog.php?record\_id=18870

## Behaviorally Realistic Design Addresses the Roles of

people in system performance, as sources of vulnerability and resilience expert judgment in analysis, formulation, estimation, and interpretation communication processes, connecting design with users



http://www.pnas.org/content/110/Supplement\_3



http://www.pnas.org/content/111/Supplement\_4

## The sciences of science communication

Baruch Fischhoff<sup>1</sup>

www.pnas.org/cgi/doi/10.1073/pnas.1213273110

PNAS | August 20, 2013 | vol. 110 | suppl. 3 | 14033–14039

## Communicating scientific uncertainty

Baruch Fischhoff<sup>a,b,1</sup> and Alex L. Davis<sup>a</sup>

www.pnas.org/cgi/doi/10.1073/pnas.1317504111

# FDA'S STRATEGIC PLAN FOR RISK COMMUNICATION

# RCAC Recommendations Communication for Emerging Events

Have a consistent policy in all domains
Provide useful, timely information
Address: risks and benefits, uncertainty,
personal actions, FDA actions
Audience needs should drive agency
analyses
Use standard formats; evaluate routinely
Consider needs of diverse populations

http://www.fda.gov/oc/advisory/OCRCACACpg.html

#### The Voice of the Patient

A series of reports from the U.S. Food and Drug Administration's (FDA's)

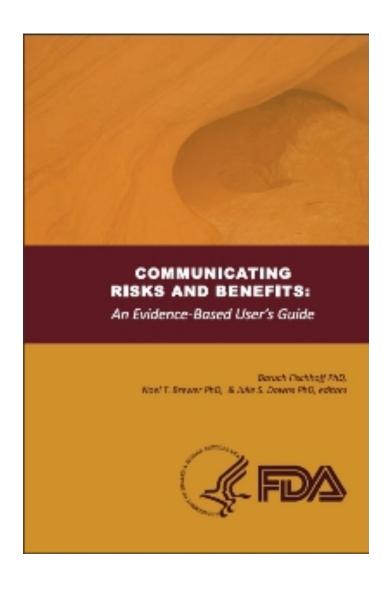
Patient-Focused Drug Development Initiative

Chronic Fatigue Syndrome and Myalgic Encephalomyelitis

Public Meeting: April 25, 2013

Report Date: September 2013

#### **FDA Risk Communication Advisory Committee**



http://www.fda.gov/AboutFDA/ReportsManualsForms/Reports/ucm268078.htm

#### **Applications Require**

continuing contact with users broad use of behavioral and social sciences avoidance of simplistic solutions empirical evaluation

#### **Applications Require**

continuing contact with users broad use of behavioral and social sciences avoidance of simplistic solutions empirical evaluation

### **Proposal**

Create a trusted resource center providing publication-quality research for behaviorally realistic risk management.

### **Proposal**

Create a trusted resource center providing publication-quality research for behaviorally realistic risk management.

- -- quality assurance
- -- economies of scope
- -- pool lessons learned
- -- anticipate problems
- -- access to current science

#### **Books**

- Fischhoff, B., Brewer, N., & Downs, J.S. (eds.). (2011). *Communicating risks and benefits: An evidence-based user's guide*. Washington, DC: Food and Drug Administration. http://www.fda.gov/AboutFDA/ReportsManualsForms/Reports/ucm268078.htm
- Fischhoff, B., & Chauvin, C. (eds.). (2011). *Intelligence analysis: Behavioral and social science foundations*. Washington, DC: National Academy Press <a href="http://www.nap.edu/catalog.php?record\_id=13062">http://www.nap.edu/catalog.php?record\_id=13062</a>
- Fischhoff, B., & Kadvany, J. (2011). *Risk: A very short introduction*. Oxford: Oxford University Press. Fischhoff, B., Lichtenstein, S., Slovic, P., Derby, S. L. & Keeney, R. L. (1981). *Acceptable risk*. New York: Cambridge University Press. (NUREG/CR-1614).
- Kahneman, D. (2011). *Thinking, fast and slow.* New York: Farrar Giroux & Strauss.
- Morgan, M.G., Henrion, M. (1990). *Uncertainty*. New York: Cambridge University Press.
- Slovic, P. (ed.) (2000). Perception of risk. London: Earthscan.

#### Research Articles

- Bruine de Bruin, W., Parker, A., & Fischhoff, B. (2007) Individual differences in adult decision-making competence (A-DMC). *Journal of Personality and Social Psychology*. *92*, 938-956.
- Fischhoff, B. (1992). Giving advice: Decision theory perspectives on sexual assault. *American Psychologist*, 47, 577-588.
- Fischhoff, B. (2011). Communicating the risks of terrorism (and anything else). *American Psychologist*, 66, 520-531.
- Fischhoff, B. (2012, Summer). Communicating uncertainty: Fulfilling the duty to inform. *Issues in Science and Technology*, *29*, 63-70,
- Fischhoff, B., Bruine de Bruin, W., Guvenc, U., Caruso, D., & Brilliant, L. (2006). Analyzing disaster risks and plans: An avian flu example. *Journal of Risk and Uncertainty*, 33, 133-151.

#### http://www.hss.cmu.edu/departments/sds/src/faculty/fischhoff.php

Carnegie Mellon Electricity Center: <a href="http://wpweb2.tepper.cmu.edu/ceic/">http://wpweb2.tepper.cmu.edu/ceic/</a>

Center for Climate and Environmental Decision Making: <a href="http://cedm.epp.cmu.edu/index.php">http://cedm.epp.cmu.edu/index.php</a>

Center for Risk Perception and Communication: <a href="http://sds.hss.cmu.edu/risk/">http://sds.hss.cmu.edu/risk/</a>

Center for Human Rights Science: <a href="http://www.cmu.edu/chrs/">http://www.cmu.edu/chrs/</a>

Figure 1: FDA Benefit-Risk Framework

Decision Factor	Evidence and Uncertainties	Conclusions and Reasons
Analysis of Condition		
Current Treatment Options		
Benefit		
Risk		
Risk Management		
Benefit-Risk Summary Assessment		

FDA. (2013). Structured approach to benefit-risk assessment for drug regulatory decision making. Draft PDUFA V implementation plan (2/13). FY2013-2017.